




# Stockton -on-Tees Borough Council

## CORPORATE RISK REGISTER - REVIEW 2nd Quarter - September 2007

RISK IDENTIFICATION & ASSESSMENT							RISK MANAGEMENT					
Service	Corp. Risk No.	Business / Service Objective	Impact Score X Likelihood Score = RISK Score [ Risk Score: >20=Catastrophic, 16-20= High, < 16 Medium, Low ]				Measures already in place to control risk	Measures planned for next year	Effectiveness of proposed controls	Target Date	Officer Responsible	
			Risk Issue	Risk Type	Previous Risk Score	Present Risk Score						Risk Category
CEC Children & Adult Strategy	3		Demographic changes and demand for Services - Growing over 85s and more children with complex needs and the subsequent increase in demand levels for Social Services   G:\excel\Corporate Risk Register 078\C	Social Economic	I = X L = =	I = 4 X L = 4 = 16	High	<p>Panels established for Childrens and Adults services</p> <p>Eligibility criteria</p> <p>Commissioning arrangements to increase specialist childrens services capacity ( e.g. fostering, adoption, low secure provision 0</p> <p>Development of preventative services for older people ( e.g. intermediate care and more robust care management systems</p> <p>Financial reporting</p> <p>Actions within SIPs &amp; BUPs</p>	<p>All within existing CYPP, Adult Strategy ( draft) and DIS/APA -</p> <p>Development of low secure provision</p> <p>Expansion of fostering and adoption services including review of payments to foster carers</p> <p>Some financial contingency planning built into MTFP</p> <p>Review of FACS criteria for adults</p> <p>Review activity trends as part of performance clinic approach.</p> <p>Workforce planning following Children Bill and 2 our health, our care, our say</p>		All 2006/07	<p>Peter Seller/Ruth Hill and appropriate 3rd tier Managers</p> <p>Elaine Wyllie and Chris Binns for Adults</p> <p>Khalid Azam for children</p> <p>RPSGMT</p> <p>CMT/SGMT</p>
CEC Health & Social Care	4		Backlog of clients awaiting assessment for equipment & adaptations   G:\excel\Corporate Risk Register 078\C	Managerial/ Reputation	I = 4 X L = 3 = 12	I = 4 X L = 4 = 16	High	<p>OT action plan in place for 2007/08 and being managed monthly by nOt management team &amp; performance advisers</p>	<p>Best practice in management of equipment adaptations</p> <p>Monthly inspection of preformance</p> <p>Review progress as part of performance clinic approach.</p> <p>Contractual reviews with 3rd party suppliers</p> <p>2007/08 Improvement Action Plan in place</p>		On Going	<p>GS/DS IA</p> <p>RP/SGMT</p>
L & D	5	14	Potential breaches of the Members Code of Conduct and subsequent referral to the Standards Board for England   G:\excel\Corporate Risk Register 078\C	Legal, Professional/ Managerial	I = 4 X L = 4 = 16	I = 4 X L = 4 = 16	High	<p>Ongoing guidance and support to Members .</p> <p>Advice and training provided by Monitoring Officer and others (eg externally) Work has taken place to update the Constitution. Steps are being taken to raise awareness and understanding in that context. An ethical governance audit and light touch health check by the IDeA has been undertaken. An action plan regarding issues arising has been developed and is being implemented. Advice &amp; guidance is being provided on the proposed new Code of Conduct (eg an externally facilitated Members training day has been planned for October 2007 )</p>	<p>Continued guidance, advice and training for Officers and Members generally and particularly as regards the proposed new Code of Conduct and strengthened role for the Standards Committee.</p> <p>Further specific training on the new Code is required for Parish Councillors and a second event for Borough Councillors is proposed. <del>the two will be combined if possible</del></p> <p>Continue to maintain an up to date Constitution.</p> <p>Conclude the implementation of the agreed recommendations arising from the ethical governance audit and review the outcomes.</p> <p>Continue to provide training in conduct, ethics and probity for Borough and Parish Councillors.</p> <p>Provide ongoing training for Standards Committee Members in relation to local determination and investigation of misconduct claims, as well as the Committee's proposed enhanced role and the new Code of Conduct.</p>		Ongoing	<p>D E Bond</p> <p>Director of Law &amp; Democracy</p>